

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID: **11715**  
Application ID: **09681902**  
Title of Invention: **METHOD FOR ASSESSING  
EQUITY ADEQUACY**  
First Named Inventor: **Chistine Cooper**  
Domestic/Foreign Application: **Domestic Application**  
Filing Date: **null**  
Effective Receipt Date: **2001-06-22**  
Submission Type: **Utility Patent Filing**  
Filing Type: **new-utility**  
Confirmation Number: **0**  
Attorney Docket Number: **200-0884**  
Digital Certificate Holder: **cn=Damian Porcari, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US**  
Certificate Message Digest: **PkBdXqk6hFlZoQZHLDQYPA==**  
  
Total Fees Authorized: **\$750.0**



Payment Category: **DA – Deposit Account**  
Deposit Account Number: **61510**  
Deposit Account Name: **Sheri L. Charles**

jc474 U.S. PTO  
09/681902  
  
06/22/01

# TRANSMITTAL FORM

Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 200-0884

## METHOD FOR ASSESSING EQUITY ADEQUACY

First Named Inventor: Chistine M. Cooper

**SUBMITTED BY**

Organization Name: Ford Motor Company

Name: Sheri Lynn Charles Electronic  
Application Specialist

Electronic Signature Mark: /slc Date Signed: 20010622

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

**Attached Files:**

|                   |                   |
|-------------------|-------------------|
| bibd-transmittal  | 200-0884apds.xml  |
| fee-transmittal   | 200-0884fee.xml   |
| patent-assignment | 200-0884asgn.xml  |
| specification     | specification.xml |
| declaration       | declaration.tif   |

|             |                           |
|-------------|---------------------------|
| declaration | <b>consent.tif</b>        |
| declaration | <b>permit to file.tif</b> |
| declaration | <b>prior art.tif</b>      |

**Attached Image File(s):**

declaration.tif  
consent.tif  
permit to file.tif  
prior art.tif

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**Comments:**

## Declaration, Power of Attorney and Assignment

As the below named inventor(s),

| NAME AND MAILING ADDRESS OF INVENTOR:                                | RESIDENCE                        | CITIZENSHIP | DATE OF SIGNATU |
|--|----------------------------------|-------------|-----------------|
| Christine M Cooper<br>6 Cromer Road<br>Hornchurch, Essex RM11 1EZ GB | Hornchurch, Essex RM11 1EZ<br>GB | GB          | 05/15/0         |
| Mike Gulkewicz<br>45109 Brunswick<br>Canton, Mich 48187 US           | Canton, Mich 48187<br>US         | US          | 05/11/0         |
| Mario Spivak<br>4223 Spring Lake Blvd.<br>Ann Arbor, MI 48108 US     | Ann Arbor, MI 48108<br>US        | US          | 05/11/0         |
| Mark Turner<br>7277 Simsbury Dr.<br>West Bloomfield, MI 48322 US     | West Bloomfield, MI 48322<br>US  | US          | 05/11/0         |
| Neil Schloss<br>4380 patrick Road<br>West Bloomfield US              | West Bloomfield<br>US            | US          | 05/11/0         |
| Paul Duncan McCarthy<br>5614 Walnut Hall<br>Ann Arbor MI 48105 US    | Ann Arbor MI 48105<br>US         | US          | 05/11/0         |
| Ron Alan Pollard<br>21142 Chase Drive<br>Novi, Michigan 48375 US     | Novi, Michigan 48375<br>US       | US          | 05/11/0         |
| Yong Yang<br>3416 Honeysuckle Ct.<br>Ann Arbor, MI 48103 US          | Ann Arbor, MI 48103<br>US        | CN          | 05/11/0         |

I/we declare that:

This Declaration, Power of Attorney and Assignment is directed to the invention claimed in the patent application entitled: **MEI ASSESSING EQUITY ADEQUACY**; Attorney docket number: **200-0884**

**Declaration** - I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which I/we am/are seeking on the above-identified invention;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be relevant to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**Power of Attorney** - I/we hereby appoint Practitioners at Customer No. 28395 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office and all foreign Patent Offices.

**Assignment** - Pursuant to my/our employment agreement with Ford Motor Company or a Ford Associated Company, or to fulfill my contractual obligation, I do sell, assign and transfer unto Ford Motor Company, the full and exclusive right for the United States and for all foreign countries to this invention, and any patent application depending from this invention including continuations,

**PATENT APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

Case Docket No. 200-0884  
Date: June 13, 2001

Sir:

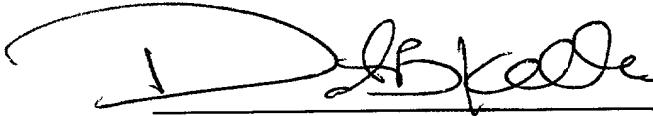
Inventor(s):

**Yong Yang  
Ron Pollard  
Paul McCarthy  
Neil Schloss  
Mark Turner  
Mario Spivak  
Mike Gulkewicz  
Christine Cooper**

For: **METHOD FOR ASSESSING EQUITY ADEQUACY**

The attorney or agent below has reviewed this application and its attachments and consents to electronic filing by the assignee.

Respectfully submitted:

  
\_\_\_\_\_  
**David B. Kelley**  
Attorney or Agent of Record  
Registration No. 33,718  
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One Parklane Blvd.,  
600 Parklane Towers East  
Dearborn, MI 48126  
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#### INVESTOR IN PI

1 June 2001

**PERMIT TO FILE ABROAD**  
**Section 23(1), Patents Act 1977**

LAND ROVER

is/are hereby authorised to file or cause to be filed outside the United Kingdom an application for a patent in respect of an invention called.

## METHOD FOR ASSESSING EQUITY ADEQUACY

(200-0884/RMF)

and described in the documents submitted to this Office.

*Ernest*  
M G WILSON  
for the Comptroller

DP Per 2/77

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| <p>Substitute for Form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p> |   |    |   | <b>Complete if Known</b> |                           |
|---|---|----|---|--------------------------|---------------------------|
|   |   |    |   | Application Number       | To Be Assigned            |
|   |   |    |   | Filing Date              | Herewith                  |
|   |   |    |   | First Named Inventor     | Christine M. Cooper et al |
|   |   |    |   | Group Art Unit           | Unknown                   |
|   |   |    |   | Examiner Name            | Unknown                   |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | FMC 1299 PUS / 200-0884   |

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

## TOTAL FEES AUTHORIZED: \$ 750

**The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:**

Deposit Account Number: 06-1510



Deposit Account Name: Ford Global Technologies, Inc.

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

### SUBMITTED BY

Authorized Name: Sheri L. Charles

Electronic Signature Mark: /slc

Date Signed: 20010622

### BASIC FILING FEE

| Fee Description    | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 101      | \$ 710   |

Subtotal For Basic Filing Fee: \$ 710

### EXTRA CLAIM FEES

|                       | Fee Code | Fee   | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 8       | 103      | \$ 18 | 0            | \$ 0     |
| Independent Claims: 1 | 102      | \$ 80 | 0            | \$ 0     |

Subtotal For Extra Claims Fees: \$ 0

**ADDITIONAL FEES**

| Fee Description                                   | Fee Code | Fee Paid |
|---|----------|----------|
| Recording Each Patent Assignment Per Property Fee | 581      | \$ 40    |

Subtotal For Additional Fees: \$ 40